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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Pramod K. Srivastava

Serial No.: 09/668,724

Group Art Unit: 1646

Filed: September 22, 2000

Examiner: To Be Assigned

For: ALPHA (2) MACROGLOBULIN RECEPTOR AS A  
HEAT SHOCK PROTEIN RECEPTOR AND USES  
THEREOF

Attorney Docket No.: 8449-128-999

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.56 AND §1.97**Assistant Commissioner for Patents  
Washington, D.C. 20231

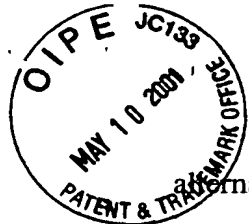
Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the U.S. Patent and Trademark Office of all references coming to the attention of each individual associated with the filing and prosecution of the subject application which are or may be material to the patentability of any claim of the application, Attorneys for Applicants respectfully direct the Examiner's attention to the references AA-CS listed on the attached revised form PTO 1449. Copies of references AA-CS are submitted herewith.

The above identified application is a continuation-in-part of co-pending application No. 09/625,137 filed July 25, 2000, claiming priority under 35 U.S.C. § 119(e) to provisional application no. 60/209,095, filed June 2, 2000.

While not to be construed as indicating that the Examiner should not review and consider fully all the listed references, Applicant particularly direct the Examiner's attention to references AK, AL, AO, AP, AQ, AR, BJ, BQ, BY, BZ, CH, and CJ.

Identification of the listed references is not to be construed as an admission of Applicant or Attorneys for Applicant that such references are available as "prior art" against the subject application. Consequently, Applicant respectfully declines to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." As an



alternative, Applicant submits herewith a "revised form PTO 1449" entitled "List of  
References Cited by Applicant" instead of "List of Prior Art Cited."

Applicant requests that the Examiner review all the references identified on  
the attached revised PTO Form 1449, and that they be made of record in the file history of the  
above-identified application.

Pursuant to 37 C.F.R. § 1.97(b), since it is believed that this information  
disclosure statement is being filed before the mailing date of a first Office Action on the  
merits, no fee is due in connection herewith. However, should the Patent Office determine  
otherwise, please charge the required fee to Pennie & Edmonds LLP deposit account  
no. 16-1150; a duplicate of this sheet is enclosed.

Respectfully submitted,

Date: May 10, 2001

Adriane M. Antler 32,605  
Adriane M. Antler (Reg. No.)

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Enclosure